FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. AMAZON.008C1	APPLICATION NO. UNKNOWN 09/850 263
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Jacobi, et al.	
		FILING DATE HEREWITH	GROUP ART UNIT 2166 2162

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
DLL		4,870,579	09/26/89	Hey	364	419	
DLL		4,992,940	02/12/91	Dworkin	705	26	
DLL		4,996,642	02/26/91	Hey	364	419	
DLL		5,235,509	08/10/93	Mueller, et al.	364	705	
DLL		5,459,306	10/17/95	Stein, et al.	235	383	
DLL		5,583,763	12/10/96	Atcheson, et al.	364	551.01	
DLL		5,704,017	12/30/97	Heckerman, et al.	706	12	
DLL		5,745,681	04/28/98	Levine, et al.	395	200.3	
DLL		5,749,081	05/05/98	Whiteis	707	102	
DLL		5,774,670	06/30/98	Montulli	395	200.57	
DLL		5,790,935	08/04/98	Payton	455	5.1	
DLL		5,790,426	08/04/98	Robinson	702	179	
DLL		5,794,210	08/11/98	Goldhaber, et al.	705	14	
DLL		5,867,799	02/02/99	Lang, et al.	707	1	
DLL		5,884,282	03/16/99	Robinson	705	27	
DLL		5,905,973	05/18/99	Yonezawa, et al.	705	27	
DLL		5,909,023	06/01/99	Ono, et al.	235	380	
DLL		5,909,492	06/01/99	Payne, et al.	380	24	
DLL		5,918,014	06/29/99	Robinson	709	219	
DLL		6,006,218	12/21/99	Breese, et al.	707	3	
DLL		6,018,738	01/25/00	Breese, et al.	707	100	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
DLL		EP 0 265, 083	04/27/88	EPO	—	—		
DLL		EP 0 751 471 A	01/02/97	EPO	—	—		

EXAMINER	_(signature)_	DATE CONSIDERED	3-4-02

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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. AMAZON.008C1	APPLICATION NO. ~~UNKNOWN~~ 09/850,263
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Jacobi, et al.	
		FILING DATE HEREWITH	GROUP ART UNIT 2166

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
DLL		EP 0 827 063 A	03/04/98	EPO	—	—		
DLL		GP 2 336 925 A	03/11/99	Great Britain	—	—		

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
DLL	Upendra Shardanand and Pattie Maes with MIT Media-Lab, Social Information Filtering: Algorithms for Automating "Word of Mouth", 8 pgs (undated).
DLL	Combining Social Networks and Collaborative Filtering, Communications of the ACM, March 1997/Vol. 40, No.3, pgs 63-65,
DLL	Pointing the Way: Active Collaborative Filtering, CHI '95 Proceedings Papers, 11 pgs.
DLL	Bradley N. Miller, John T. Riedl, Joseph A. Konstan with Department of Computer Science, University of Minnesota, Experiences with GroupLens: Making Usenet Useful Again, 13 pgs.
DLL	A System for Sharing Recommendations, Communications of the ACM, March 1997/Vol. 40, No.3, pgs 59-62
DLL	Recommender Systems for Evaluating Computer Messages, Communications of the ACM, March 1997/Vol. 40, No.3, pgs 88 and 89
DLL	Content-Based, Collaborative Recommendation, Communications of the ACM, March 1997/Vol. 40, No.3, pgs 66-72
DLL	Applying Collaborative Filtering to Usenet News, Communications of the ACM, March 1997/Vol. 40, No.3, pgs 77-87
DLL	Personalized Navigation for the Web, Communications of the ACM, March 1997/Vol. 40, No.3, pgs 73-76
DLL	*Resnick, P., et al.* GroupLens: An Open Architecture for Collaborative Filtering of Netnews, ~~10 pgs.~~ *Proceedings of ACM 1994 Conf., Chapel Hill, NC, pp. 175-186*
DLL	Net Perceptions, Inc., White Paper, Building Customer Loyalty and High-Yield Relationships Through GroupLens Collaborative Filtering, 9 pgs., 11/22/96
DLL	Christos Faloutsos and Douglas Oard with University of Maryland, A Survey of Information Retrieval and Filtering Methods, 22 pgs (undated).
DLL	Joaquin Delgado, "Intelligent Collaborative Information Retrieval" *(undated)*
DLL	Joaquin Delgado, "Content-based Collaborative Information Filtering" *(undated)*
DLL	Marko Balabanovic and Yoav Shoham, "Content-Based, Collaborative Recommendation," Communications of the ACM, Volume 40n3, pp.66-72, ~~dated~~ March 1997.
DLL	"COSMOCOM," Computer Telephony, p. 124, ~~dated~~ July 1998.
DLL	Brier, S.E., "Smart Devices Peep Into Your Grocery Cart," New York Times Co., Section G, Page 3, Col. 3, Circuits, ~~dated~~ July 1998.

EXAMINER		DATE CONSIDERED	

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3-5-02 *(DLL)*

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. AMAZON.008C1	APPLICATION NO. ~~UNKNOWN~~ 09/880,263
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Jacobi, et al.	
		FILING DATE HEREWITH	GROUP ART UNIT ~~2166~~ 2167

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
DLL	Nash, E.L., "Direct Marketing; Strategy, Planning, Execution," 3rd Ed., McGraw-Hill, Inc., pp. 165, & 365-6. (1994).
DLL	"iCatElectronic Commerce Suite Takes 'Best of Show' Award At WebINNOVATION 97," PR Newswire, June 1997.
DLL	"iCat~~Electronic~~ Corporation: iCat's Commerce Suite Makes Setting Up Shop on 'Net Even Easier Than High Street," M2 Presswire, ~~dated~~ February 1997.
DLL	Dragan et al., "Advice From the Web," PC Magazine, v. 16, n. 15, Page 133, ~~dated~~ September 1997.
DLL	"Able Solutions Announces Able Commerce 2.6," PR Newswire, ~~dated~~ September 1998.
DLL	"Internet World – IBM To Expand E-Comm Features," Newsbytes News Network, ~~dated~~ December 1996.
DLL	McMains, A., "Weiss, Whitten, Staliano's," ADWEEK Eastern Edition, V. 39, N. 24, page 82, ~~dated~~ June 1998.
DLL	"Cdnow Rated Top Music Site by eMarketer, the Authority on Business Online," PR Newswire, ~~dated~~ September 1998.
DLL	"Net Perceptions Closes Second Round of Financing: GroupLens secures No. 1 recommendation system spot with strong endorsement by investment community," Business Wire, p.3020013, Dialog File 16, AN 05495619, ~~dated~~ March 1998.
DLL	"LinkShare Launches Affiliates Profiling Software; First to Integrate Personalization Software Into Affiliates Program," PR Newswire, LinkShare Corp., Dialog File 813, AN 1232636, ~~dated~~ February 1998.
DLL	"Fort Point Partners Teams With LikeMinds to Offer Breakthrough Personalization Technology for Increased Sales Online," Business Wire, p.3110064, Dialog File 16, AN 05510541, ~~dated~~ March 1998.
DLL	"Net Perceptions Debuts GroupLens Version 3.0 at Internet World Spring; 'Industrial Strength Tool Matures Into Essential Website Technology'," Business Wire, p. 3090007, Dialog File 16, AN 05505690, ~~dated~~ March 1998.
DLL	"Home Box Office Selects Like Minds Personalization Software for Second Network Site," PR Newswire, p. 1117SFM023, Dialog File 148, AN 09869396, ~~dated~~ November 1997.
DLL	"GroupLens Recommendation Engine to Standarize Internet Personalization For Singapore's Online Technologies Consortium," Business Wire, Dialog File 20, AN 01951318, June 1998.
DLL	Borchers, A. et al., "Ganging up on Information Overload," Computer, pp. 106-108, ~~dated~~ April 1998.
DLL	Konstan, J. et al., "GroupLens: Applying Collaborative Filtering to Usenet News," Communications of the ACM, Volume 30, No. 3, pp.77-87, ~~dated March 1997.~~
DLL	Miller, B. et al.., "Experiences with GroupLens: Making Usenet Useful Again," 1997 Annual Technical Conference, pp. 219-232. USENIX Association
DLL	Resnick, P. et al., "Recommender Systems," Communications of the ACM, Volume 40, No. 3, pp. 56—58, ~~dated~~ March 1997
DLL	Rucker, J. et al., "Siteseer: Personalized Navigation for the Web," Communications of the ACM, Volume 40, No. 3, pp. 73-76, ~~dated~~ March 1997.
DLL	Description ~~in attached IDS~~ of Amazon.com's "book information page" feature, Paper No. 2, US Pat. Appl. 09850263.

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3-5-02 (DLL)

EXAMINER	DATE CONSIDERED ~~2-5-02~~

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